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FISH & NEAVE IP GROUP
 ROPES & GRAY LLP
 1251 AVENUE OF THE AMERICAS FL C3
 NEW YORK, NY 10020-1105

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<u>Andrew Shipe</u>	(Depositor's name)
<u>Andrew Shipe</u>	(Signature)
<u>5/5/05</u>	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/649,713	08/26/2003	Edward R. Kraft	ERK-2 CON	6167

TITLE OF INVENTION: FLAT PANEL LUMINAIRE HAVING EMBEDDED LIGHT GUIDES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$300	\$1000	05/11/2005

EXAMINER	ART UNIT	CLASS-SUBCLASS
NEGRON, ISMAEL	2875	362-031000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Fish & Neave IP Group Of
Ropes & Gray LLP
Jeffrey H. Ingberman
Wendy A. Petka

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Edward Robert Kraft

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

New York, NY

05/10/2005 RNDDAF2 00000006 061075 10649713

01 FC:2501	700.00 DA
02 FC:1504	300.00 DA
03 FC:8001	15.00 DA

Please check the appropriate assignee category or categories (will not be printed on the patent): ☒ Individual ☐ Corporation or other private group entity ☐ Government

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- ☒ Issue Fee
- ☒ Publication Fee (No small entity discount permitted)
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- ☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 06-1075 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Wendy A. Petka
 Wendy A. Petka

Date

May 5, 2005

Typed or printed name

Registration No.

53,459

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Docket No. ERK-2 CON

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/649,713 Confirmation No. 6167
Applicant : Edward R. Kraft
Filed : August 26, 2003
Group Art Unit : 2875
Examiner : Ismael Negron
Title : FLAT PANEL LUMINAIRE HAVING EMBEDDED
LIGHT GUIDES

Hon. Commissioner for Patents New York, New York 10020
P.O. Box 1450
Alexandria, VA 22313-1450

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Date of Deposit: May 5, 2005

I hereby certify that this certification and the following papers and fees:

1. Part B - Fee(s) Transmittal (in duplicate); and
2. Postcard receipt.

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Name: _____

Andrew Shive
Andrew Shive